

Self-integration of nanowires into circuits via guided growth

Mark Schvartzman^a, David Tsivion^a, Diana Mahalu^b, Olga Raslin^b, and Ernesto Joselevich^{a,1}

^aDepartment of Materials and Interfaces, Faculty of Chemistry and ^bThe Braun Center for Submicron Research, Department of Condensed Matter Physics, Weizmann Institute of Science, Rehovot 76100, Israel

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The ability to assemble discrete nanowires (NWs) with nanoscale precision on a substrate is the key to their integration into circuits and other functional systems. We demonstrate a bottom-up approach for massively parallel deterministic assembly of discrete NWs based on surface-guided horizontal growth from nanopatterned catalyst. The guided growth and the catalyst nanopattern define the direction and length, and the position of each NW, respectively, both with unprecedented precision and yield, without the need for postgrowth assembly. We used these highly ordered NW arrays for the parallel production of hundreds of independently addressable single-NW field-effect transistors, showing up to 85% yield of working devices. Furthermore, we applied this approach for the integration of 14 discrete NWs into an electronic circuit operating as a three-bit address decoder. These results demonstrate the feasibility of massively parallel “self-integration” of NWs into electronic circuits and functional systems based on guided growth.

nanotechnology | nanolithography | self-assembly | nanoelectronics | 1D nanostructures

The sustained progress in semiconductor technology introduces new challenges associated with the scaling and functionality of nanosize components. In the face of these challenges, alternative unconventional device and fabrication concepts based on bottom-up assembly of synthetic nanostructures are being intensively explored (1). These nanostructures, such as quantum dots (2), nanotubes (3), and nanowires (NWs) (4), can be chemically synthesized with exquisite control over their structures and properties down to the atomic level. On the other hand, their self-assembly alone is unlikely to produce the arbitrary geometries and long-range order that are required for their integration into functional systems. To realize such systems, bottom-up assembly may be used as a complementary step in a sequence of top-down fabrication processes. Such a hybrid top-down/bottom-up approach can be based on the directed self-assembly of building blocks onto a lithographically produced template to fit the design of an integrated functional system. Thus, the building blocks integrate themselves into the system, as one of the layers in the overall design. Here we demonstrate the feasibility of this “self-integration” concept with the parallel fabrication of large numbers of devices and complex circuits, based on guided growth of horizontal NWs (5).

NWs are attractive building blocks for the bottom-up assembly of nanoscale devices and functional systems with potential applications in nanoelectronics (6), photonics (7), renewable energy (8), and biology (9). They can be synthesized with precisely controlled nanoscale dimensions and chemical compositions (10). Moreover, they may be structured to possess unique electronic properties, such as ballistic conductivity due to confinement of a 1D charge-carrier gas in core-shell NWs (11). The potential of NW-based electronics has been demonstrated for various NW materials (12). However, most studies were done at the single-device level. The main obstacle toward NW integration into large-scale electronic circuits has been the challenge to deterministically organize discrete NWs into ordered arrays according to a predefined system design. Up until now, most

strategies for producing such arrays were based on postgrowth assembly in liquid by different methods, including (i) dielectrophoretic deposition between microfabricated electrodes (13), (ii) mechanical shearing onto patterned chemical functionalities (14, 15), and (iii) liquid flow inside microfluidic channels (16). The first approach (i) enables high-yield and precise positioning, but the patterned electrodes required for dielectrophoresis are an obstacle for subsequent integration of the assembled NWs into circuits. The other two approaches (ii and iii) enable highly controlled NW orientation (15) but only partial control of the NW position, where the ends of each NW are not precisely positioned in both x and y coordinates. Therefore, integration of these NWs in multidevice circuits usually requires their mapping by high-resolution microscopy, tailored design of electrodes to fit the scattered positions of each and every NW in the system, and electrode nanofabrication by electron-beam lithography (17). The need for this serial process precludes the large-scale integration of discrete NWs into functional multidevice systems.

In this work, we demonstrate a conceptually unique bottom-up approach, by which NWs are deterministically assembled during their growth, with no need of any postgrowth assembly or manipulation. The approach is based on a previously proposed concept of “vectorial growth” (18), whereby the formation of a 1D nanostructure on a substrate is defined in the form of a vector—that is, having an origin (x, y), a direction (φ), and a length (L) (Fig. 1A). Although this concept was initially aimed at producing ordered arrays of carbon nanotubes (18), its practical implementation was frustrated by the low yield of nanotube nucleation, which eventually prevented the integration of functional systems based on such arrays (19). Here, we have successfully applied the vectorial growth concept to produce large ordered arrays of perfectly placed discrete NWs, thanks to the high yield of NW growth from patterned catalyst nanoparticles. We used these arrays for the parallel fabrication of a large number of NW-based devices, as well as for their integration into complex logic circuits.

Implementation of the vectorial growth concept requires independent control of each of the vector parameters, (x, y), φ , and L . Recently, we reported the guided growth of perfectly aligned horizontal GaN and ZnO NWs on different planes of sapphire (5, 20). The growth directions and crystallographic orientations of the NWs were determined by their epitaxial relationship with the substrate, as well as by a graphoepitaxial effect that guided their growth along surface steps and grooves. Owing to the single-crystal nature of the substrate, the guided growth enables control over the NW direction (φ) with extremely high accuracy and long-range order.

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¹To whom correspondence should be addressed. E-mail: ernesto.joselevich@weizmann.ac.il.

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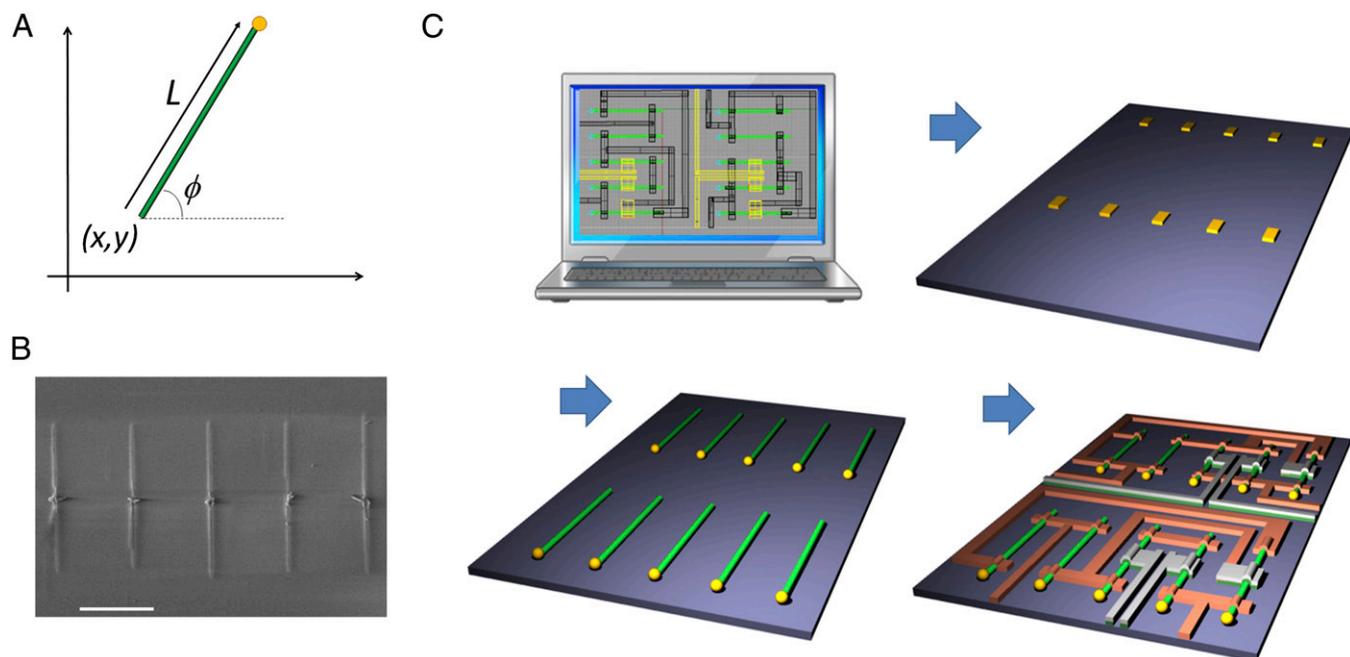


Fig. 1. Self-integration of NWs by guided growth. (A) Schematic of NW vectorial growth: ϕ , (x, y) , and L define the NW position. (B) SEM image of guided NWs, grown from nanopatterned catalyst on R($\bar{1}\bar{1}02$)-plane sapphire in two opposite directions $\pm[\bar{1}101]$. (Scale bar, 6 μm .) (C) Fabrication of functional systems based on self-integrated NWs: Nanoarray of catalyst is designed to fit the location of the NWs into the overall system design. The first lithographic layer refers to the catalyst nanopattern, which determines the position of the grown NWs. The next layers include the electrodes and interconnects registered to the catalyst layer.

To reach the second milestone toward the ability to perfectly control the location of the NWs, in this work we define their origins (x, y) by nanolithographically patterned catalyst nanoparticles. “Seed” catalyst dots of appropriate size and shape can be used to grow arrays of discrete NWs, with the direction defined by the guided growth and the position defined by the original location where the dots are patterned (Fig. 1B). This deterministic definition of the NW array geometry enables the realization of large-scale, multidevice, functional systems, as schematically described in Fig. 1C. The system design consists of a few sequential lithographic layers. The first layer refers to the catalytic seed nanopattern that determines the positions of each NW. The following layers refer to the device electrodes and interconnects, which are globally registered to the first layer.

Results and Discussion

We demonstrate the production of NW arrays with controlled position, orientation, and length by guided growth of horizontal ZnO NWs from Au nanodot arrays on R-plane sapphire substrates, that is $\alpha\text{-Al}_2\text{O}_3(\bar{1}\bar{1}02)$ (Fig. 2A and B). Before we patterned the catalyst seeds in a fully parallel process, we performed a series of prototyping experiments to optimize their dimensions. To this end, the Au nanodots were at this stage produced by electron-beam lithography, followed by Au evaporation and liftoff (Fig. S1). Vapor–liquid–solid (VLS) growth from the Au nanodots (*Materials and Methods*) led to the formation of discrete, parallel ZnO NWs directed along two opposite $\pm[\bar{1}101]$ crystalline directions of the R-plane sapphire (20) (Fig. 2A and B). This controlled growth also leads to highly uniform NW length (L), so that both ends of each NW are precisely positioned in both x and y coordinates. Different seed dot sizes and shapes, as well as the evaporated Au thicknesses, were examined to optimize the NW yield for arrays with different periods down to 2 μm , as shown in Fig. 2A (see *SI Results and Discussion* for additional discussion). Ideally, two oppositely directed NWs grow from each seed dot. A yield of 96% was achieved for an

array of Au rectangles of 430 nm \times 140 nm size, with the long side along the NW growth direction. We found that the yield can be further increased using wider catalyst features, while paying the penalty of having double or multiple NWs grown from each dot. We speculate that Ostwald ripening during the dewetting of the catalyst thin film (21) may play a role in limiting the minimum dimensions and spacing of the catalyst nanodots that are required for high-yield growth of discrete NWs.

To quantitatively characterize the NW positioning accuracy, we separately estimated the uniformity of the three vector parameters for more than 100 NWs in an array (Fig. S2). First, we found that the NW length is distributed with a SD of 11.7% around its average value of $\sim 6 \mu\text{m}$. This length uniformity is substantially higher than previously reported for GaN and ZnO NWs grown from continuous catalyst films (5, 20). We may speculate about several possible reasons for this improvement. First, the dewetting time of the nanopatterned catalyst was 1 min (*Materials and Methods*) versus 5 min for the continuous catalyst film. Because the Ostwald ripening is a time-dependent process (22), shorter dewetting is expected to produce more uniform nanoparticles, which ensures simultaneous nucleation at the solid–liquid–gas interface from all of the nanoparticles, as well as a more uniform growth rate (23). As for GaN NWs, they were aimed to be two orders of magnitude longer compared with those of ZnO, and thus had a higher probability to be stopped during the growth by random surface defects, showing significantly larger length distribution.

The accuracy in the lateral position (i.e., perpendicular to the NW axis) was characterized in terms of the NW misplacement from their positions defined by the array design (Fig. 2D). With more than half of the NWs placed within $\pm 50 \text{ nm}$ from their nominal positions, the obtained accuracy is unprecedented compared with the previously reported methods of NW assembly (17). As to the direction accuracy, more than 99% of the NWs were found to be aligned within $\pm 0.1^\circ$. Previously reported methods for NW alignment, such as mechanical (24), blown bubble (25), flow

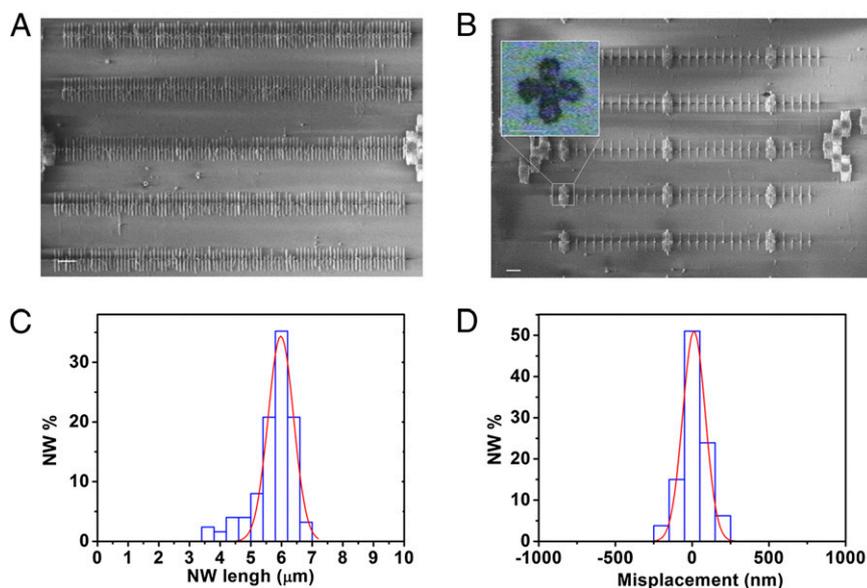


Fig. 2. Arrays of self-integrated ZnO NWs on R-plane sapphire. (A and B) SEM images of large NW arrays with the intervals of 2 μm (A) and 6 μm (B). (Inset) Optical microscope image of an alignment mark. The horizontally NWs are not visible, making the mark contour clearly seen with a high contrast due to the vertically grown NWs. (Scale bar on the SEM images, 10 μm ; scale bar on the inset, 2 μm .) (C) Distribution of the NW length measured in an array of NWs with 4 μm intervals. (D) Distribution of the lateral misplacement from the nominal NW position measured in the same array.

assisted (16), or NW assembly, have shown so far the narrowest angle distribution of 98.5% within $\pm 1^\circ$ (15). The degree of NW alignment obtained by guided growth, which is also unprecedented, is attributed to the fact that the NW orientation is dictated by the crystallographic lattice of the substrate.

The highly controlled geometry of the guided NW arrays from patterned catalyst enables facile registration between the NWs and the subsequent lithographic layers, including electrodes and interconnects. This parallel process is in contrast to the current state-of-the-art process, where NW integration into circuits requires their mapping and laying down specifically designed electrodes by electron-beam lithography to fit the scattered positions of each and every NW in either or both x and y coordinates. Here, the registration is global for the entire array, and can be achieved by including alignment marks into the seed catalyst layer. After the NW growth, the marks become covered with a dense forest of vertical NWs, making them perfectly visible both in electron and optical microscopes (Fig. 2B, Inset). The NW position accuracy and their simple registration to the electrodes pave the way to massively parallel fabrication of a large number of perfectly aligned single NW devices, and their further integration into complex electronic circuits.

To demonstrate the scalability of NW self-integration, we produced arrays of single-NW field-effect transistors (Fig. 3A) using exclusively parallel lithographic methods. Each array included 100 discrete NWs grown in two opposite directions from 50 seed dots. Previous reports had shown parallel device fabrication from horizontally grown NW arrays (26, 27), but each device comprised many NWs, whereas here, each transistor is built on a single NW. Nanoimprint lithography (28), which is capable of high-throughput replication of arbitrary nanopatterns with nanometer resolution, was chosen for patterning the catalyst nanodot arrays. Briefly, sapphire substrates were UV-nanoimprinted with a cast polydimethylsiloxane (PDMS) soft mold, followed by angle-evaporated-mask-assisted pattern transfer to obtain arrays of Au nanodots (29) (see *Materials and Methods* and Fig. S3 for details). The NWs grown from these nanoimprinted Au dots (Fig. S4) were used for transistor fabrication, done by photolithographic patterning of source, drain, and top-

gate electrodes (Fig. 3B–D). We obtained an overall yield of 85% (i.e., 85 working transistors out of 100) with typical n-type characteristics (Fig. 3E) and at least one order of magnitude on–off ratio, comparable to those of similar devices fabricated by electron-beam lithography (20). No hysteresis was observed by us during the electrical characterization of the transistors. The average transconductance at the source-drain voltage of -1.0 V and threshold gate voltage were found to be $\sim 200 \pm 80$ nS (Fig. 3G), and $\sim -7.0 \pm 1.5$ V, respectively (Fig. 3H). The dispersion of these device characteristics, as well as that of the on–off ratio (Fig. S5), could partly originate from the nonuniformity of the NW diameter (Fig. S6 and *SI Results and Discussion*). The calculated mobility and density of charge carriers were estimated to be 60 ± 30 cm^2/Vs (Fig. S7) and $1.8 \times 10^{19} \pm 0.8 \times 10^{19}$ cm^{-3} , respectively, consistent with previously reported data (20).

To demonstrate the self-integration of NWs into a complex multidevice circuit via guided growth, we have fabricated a tree address decoder made of 14 interconnected single-NW transistors (Fig. 4A and B). The decoder selects one of 2^N -bit lines in response to an input address of N bits (in our case $N = 3$). The input bit applied to each of the three transistor rows is defined as “1” when A_i gate voltage turns the transistors on and \bar{A}_i gate voltage turns the transistors off. Similarly, the input bit is defined as “0” for the opposite combination. The performance of the decoder was characterized by applying a constant dc load of 5 V on each bit line separately, and gate voltages of 0 V and -5 V were applied to turn the transistors on and off, respectively, as the input signals. With average output signals of 2.9 ± 0.2 V and 0.58 ± 0.09 V for closed and opened bit lines, respectively (Fig. 4C), the decoder exhibits an average on–off ratio of 5 (see *SI Results and Discussion* for further details). These results demonstrate the feasibility of NW self-integration into electronic circuits via guided growth.

In summary, we have demonstrated the massively parallel self-integration of discrete NWs into circuits by guided growth from nanopatterned catalyst. The NW position accuracy, and the simplicity of the registration between NWs and electrodes, enables the realization of complex multidevice systems. This unique concept combines bottom–up and top–down fabrication approaches

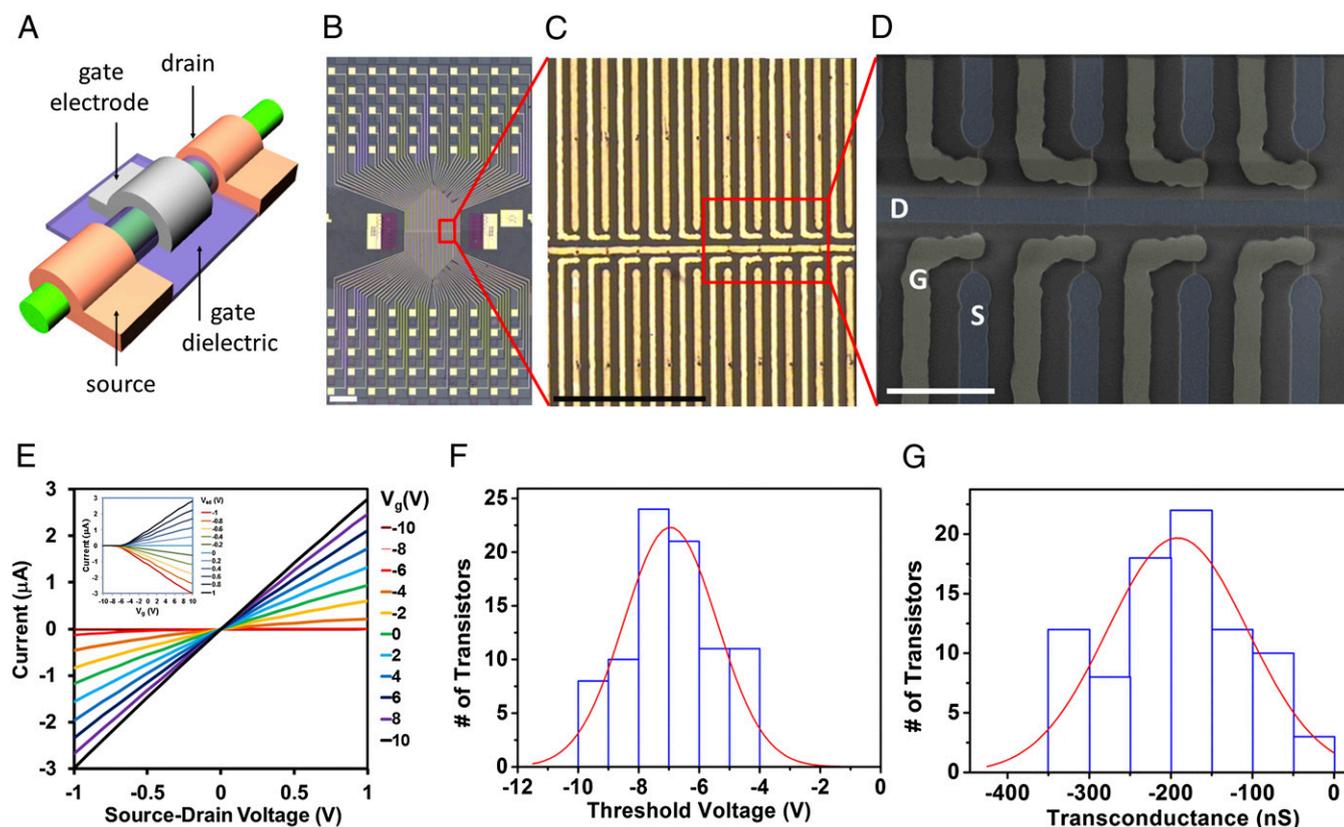


Fig. 3. Massively parallel fabrication of single-NW FETs. (A) Schematic of a single-NW transistor, which consists of a wire with source and drain electrodes. The gate is made of a thin dielectric film on the top of the NW, covered by a gate electrode. (B–D) Optical microscopy and false-colored SEM images of NW transistor array, prepared using exclusively parallel patterning methods. The common drain electrode and the individual source and gate electrodes are signed in the SEM image by the letters “D,” “S,” and “G,” respectively. (Scale bar, 100 μm , 40 μm , and 8 μm , respectively.) (E) Typical electrical characteristic of a top-gate ZnO NW transistor. The plot presents current as a function of applied source-drain voltage for different gate voltages (V_g). (Inset) Current versus gate voltage for different source-drain voltages. (F) Distribution of the transistor transconductance at the source-drain voltage of -1.0 V. (G) Distribution of the transistor threshold voltage.

into one fully parallel process, adopting the advantages of both. On the one hand, the bottom-up synthesis provides highly controlled nanostructures with unique electronic properties. On the other hand, the top-down fabrication used to produce the catalyst nanopattern offers the arbitrariness and long-range order of the nanostructure organization required for large-scale integration. The demonstrated high-throughput parallel fabrication of hundreds of single-NW electronic devices, as well as the realization of a multidevice integrated circuit, highlights the potential of our approach for NW-based nanoelectronics.

We used ZnO NWs grown on sapphire as a model system to prove this concept. However, recently reported alternative surface-guided growth systems, such as of GaN NWs on sapphire substrates (5) or GaAs NWs on GaAs substrates (30), show that this concept can be applied to a broad variety of NW materials and substrates. Further areas to study include (i) guided growth of NWs with coherently modulated composition and doping, allowing integration of p-type and n-type NWs, and heterojunctions (31); (ii) the transfer of the assembled NWs and circuits onto other substrates such as silicon and plastics (15) (Initial results have already been achieved by our group by selective etching of the substrate. These preliminary results already demonstrate that the guided growth approach is general and versatile and not limited to any specific materials or substrates.); and (iii) a better understanding of the guided growth mechanism. This will lead to further miniaturization of NW-based integrated circuits. This work presents a successful combination of parallel top-down and bottom-up processes potentially compatible with

industrial technologies of lithography and chemical vapor deposition. This compatibility, together with the deterministic control of NW position, direction, and length, represents an important advantage with respect to postgrowth assembly processes. Thus, the demonstration of this self-integration concept opens a promising pathway toward the realistic application of NWs in large-scale functional systems.

Materials and Methods

Catalyst Seed Nanopatterning and NW Growth. R-plane sapphire substrates (Roditi International Ltd.) were patterned by electron-beam lithography (JEOL FS-9300) using a bilayer of 200K (100 nm)/PMMA (150 nm) Poly (methyl methacrylate) (PMMA) resist (Microresist Technology GmbH), following by Au electron-beam evaporation (1–5 nm) and liftoff in acetone. The Au pattern was dewetted by heating the substrates to 550 $^{\circ}\text{C}$ in air for 3 min. NW synthesis was carried out in a quartz tube, using ZnO mixed with carbon powder (1:1 wt/wt) as a precursor and N_2 as a carrier gas, as previously reported (20). During the growth, the temperature at the precursor source was set to 1,000 $^{\circ}\text{C}$, and the temperature at the target substrate was set to 850–900 $^{\circ}\text{C}$.

Nanoimprinted Catalyst Pattern. We patterned 495 K PMMA film with a thickness of 100–150 nm on Si substrates by electron-beam lithography, and used them as masters for soft mold preparation. The hybrid soft h-PDMS/PDMS molds were prepared according to the procedure provided by Odom et al. (32). Sapphire substrates were first spin-coated with an adhesion layer of 495 K PMMA (50 nm) and baked at 180 $^{\circ}\text{C}$ for 2 min. UV-curable resist (NOA-61, Norland Products Inc.), diluted in Propylene glycol monomethyl ether acetate (PMGEA) to provide a thickness of 150–200 nm, was then applied by spin-coating, and the substrate was exposed under the UV lamp (365 nm, 10 mW/cm 2) of Karl Suss MA-6 mask-aligner (33). Then the substrate

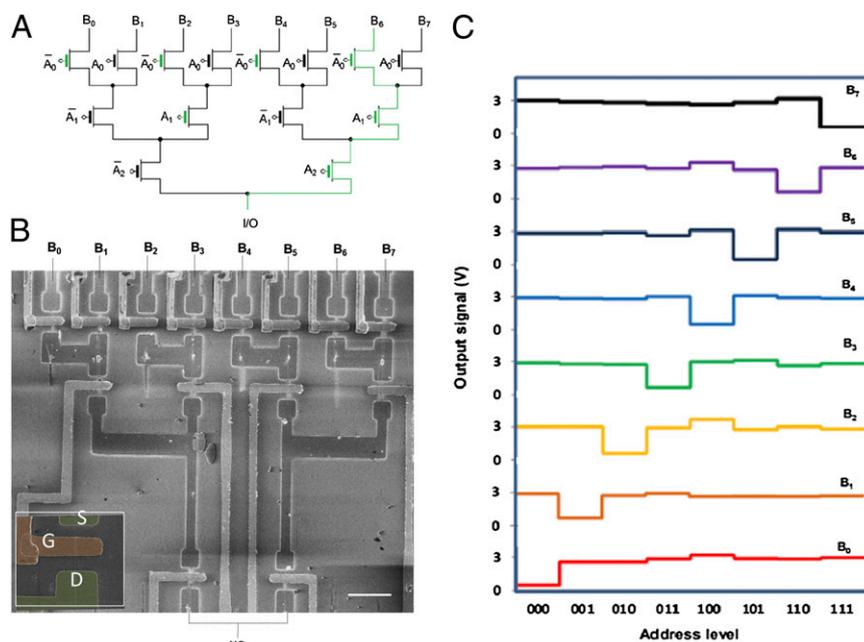


Fig. 4. NW-based three-bit address decoder. (A) Electronic scheme of three-level tree address decoder. To provide an example of the decoder operation, the scheme demonstrates the states of the transistors when the input level is 110. All of the colored transistors are in an “on” state, connecting B_6 to the data line. (B) SEM of the NW decoder made of 14 interconnected single-NW field-effect transistors. (Scale bar, 6 μm .) Drain, source, and gate electrodes in the inset are signed by the letters D, S, and G, respectively. (C) Electrical characterization of the NW decoder. Each colored plot represents a manually measured output signal from one eight-bit line, as a function of the address input signal.

was brought in contact with the mold, slightly pressed to evacuate air traps from the mold-substrate interface, and UV-exposed through the mold for 15 min. Finally, the mold was gently separated from the substrate.

To prevent the widening of the imprinted features during the plasma etching of the resist residual layer, a Ti hard mask (45 nm) was electron-beam evaporated while the substrates are tilted by 30° (Fig. S6) (29). The angle-evaporated Ti covers the resist surface, except the bottom parts of the imprinted features. Then, the resist was overetched by exposing the substrates to oxygen plasma (STS ASE ICP, 20 mTorr, 80 sccm of O_2 , coil power 200 W, platen power 50 W) through the Ti mask for 5 min. Finally, an Au film was deposited by electron-beam evaporation, followed by liftoff in hot (60 °C) *N*-methyl-pyrrolidone.

Device Fabrication by Photolithography. Source and drain electrodes were patterned using Shipley S1813 photoresist, electron-beam evaporation of Ti (20 nm)/Al (20 nm)/Pt (20 nm)/Au (20 nm) and liftoff in hot acetone. Al_2O_3 gate dielectric (50 nm) was deposited by low-temperature (100 °C) atomic layer deposition (FIJI F200, Cambridge Nanotech), using trimethylaluminum and water as precursors. Gate electrodes were fabricated similarly to source-drain patterning, with Cr (5 nm)/Au (60 nm) as the gate metal. Finally, source

and drain pads were opened by Al_2O_3 etching with hydrofluoric acid (HF) buffered oxide etchant 1:6 through an additional photolithographic mask. All of the electrical characterizations, as well as the extraction of NW electronic properties, were performed as in previous reports (5, 20).

NW Decoder. Source and drain electrodes and interconnections, as well as the gate electrodes, were fabricated using electron-beam lithography, metal deposition, and liftoff. The materials and methods for the electrodes and gate dielectric were as described in the previous section. The gate electrodes related to the same input signal were interconnected by wire bonding of the far located electrode pads. A resistive load of 100 k Ω was connected to each bit line, and the output signals were taken by measuring the potential difference between the line and the ground.

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